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**ST. JOSEPH’S COLLEGE (AUTONOMOUS), BANGALORE-27**

**CBCS - IV SEMESTER**

**SEMESTER EXAMINATION: APRIL 2019**

**BCOE 4317: Fundamentals of Stock Markets**

**Time- 90 minutes Max Marks-35 marks**

**SECTION A**

**Answer any five of the following questions. Each question carries one mark. (5x1=5)**

1. What is Initial Public offering (IPO)?
2. Expand CDSL & NSDL
3. What is Technical Analysis?
4. Who is a Jobber?
5. What is SENSEX & NIFTY?
6. What is book building?
7. What is a Digital Contract Note (DCN)?

**SECTION B**

**Answer any one of the following questions. The question carries five marks. (1x5=5)**

1. Who is a speculator? Explain the types of speculators.
2. Who is a Merchant Banker? State the functions of a Merchant banker.

**SECTION C**

**Answer any one of the following questions. The question carries ten marks. (1x10=10)**

1. Explain the types of brokers and their functions in the stock market.
2. Discuss the methods of issuing shares in the primary market.

**SECTION D**

**Answer the following question. The Compulsory question carries fifteen marks. (1x15=15)**

1. What is a Stock exchange? Explain the functions of a Stock exchange.

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