Register Number:

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**ST. JOSEPH’S COLLEGE (AUTONOMOUS), BENGALURU-27**

**B.COM – IV SEMISTER**

**END SEMISTER EXAMINATION: APRIL-2017**

**BC 4215 – Financial Markets and Institutions**

**This question paper has ONE printed page**

**Section A**

I. Answer the following 5x2=10marks

1. What do you understand by book building?
2. Give the meaning of AMC
3. Differentiate between Operating lease and financial lease
4. What do you mean by money market mutual funds (MMMF)?
5. Who are Tarawaniwalas?
6. Who is Mandiwala?
7. Differentiate between Investment Company and Core Investment Company.

**Section B**

II. Answer any **THREE** of the following 3x5=15marks

1. Explain the various functions Primary market
2. Write a note trading settlement system in stock exchange
3. Distinguish between Banking and Non-Banking companies
4. Write a note on ADR’s and GDR’s
5. Write a note on debit card and credit card.

**section C**

III. Answer any **THREE** of the following 3x10=30 marks

1. What are the SEBI guidelines for listing of securities?
2. Who are underwriters? Explain the various role played in Corporate Financing?
3. Explain in brief various classification of Non-Banking financial Intermediaries in India?
4. “*It provides enormous benefits to consumers in terms of the ease and cost of transactions. But it also poses new challenges for country’”* In the light of this statement explain various challenges posed for e-banking in India?
5. Explain the various regulations governing FDI in India?

**Section D – COMPULSORY** 1x15 =15 marks

IV. 17. It is the last day of your end semester examination, with glimpse of happiness all around. You reach home with excitement for the summer vacations while your parents are watching TV. One such advertisement that triggers their mind goes this way, “Mutual funds are subject for **market-risk**, please read all the **scheme related** documents carefully before investment.” Enumerate?

BC-4215-A-17